# Formation, Stability, and Protonation of Dihydropyridines. A MINDO/3 Study ${ }^{\ddagger}$ 

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#### Abstract

A detailed MINDO/ 3 study of the formation, stability, and behavior of the various dihydropyridine isomers and related compounds was carried out. The order of stability of the seven dihydro isomers is as follows: $1,4>3,4>1,2>2,5>2,3$ $\gg$ the two bicyclo structures. Protonation of the dihydropyridines occurs yielding preferentially enamine salts. The calculations of all these processes are in good agreement with experimental observations. The relative stabilities of the 1,4-vs. 1,2dihydropyridines were well reproduced both for pyridine and its 1 -methyl derivative. It is shown that the favorable electronic interactions (hyperconjugation, homoaromaticity) can indeed account for the increased stability of the 1,4-dihydro isomers. Excellent agreement between the calculated (adiabatic and vertical) and observed ionization potentials was obtained.


Although dihydropyridines ${ }^{2}$ are of utmost importance in biological systems, particularly the NAD-NADH which is involved in biological oxidation-reduction, simple dihydropyridines have not been extensively studied due to their susceptibility to oxidation and various addition reactions and because of the lack of convenient methods for their preparation.

As a most recent important application, ${ }^{3}$ the dihydropyridine $\rightleftharpoons$ pyridine redox system was successfully applied for delivering a quaternary pyridinium salt, 1-methylpyridin-ium-2-carbaldoxime chloride (2-PAM), through the bloodbrain barrier (BBB); the dihydropyridine derivative of 2-PAM (Pro-2-PAM) easily penetrated the BBB where it was rapidly oxidized to the active 2-PAM at the site of action. A generalized version of this redox system can be applied for target delivery of various drugs ${ }^{4}$ which are otherwise inaccessible to the brain or to deep lipoidal tissues because of their polar ionic character.

The increasing importance of the dihydropyridine $\rightleftharpoons$ pyridine redox system prompted us to initiate a detailed study on the formation, stability, and behavior of the various dihydropyridine isomers.

Theoretically, five isomeric dihydropyridines are capable of existence in addition to the two possible bicyclo structures, but most of the known dihydropyridines have either the $1,4-$ or the 1,2-dihydro structure, except in few cases, where steric hindrance or certain stabilizing groups lead to 2,3 - or 3,4dihydropyridines. ${ }^{2 a}$

Experimental difficulties prompted investigators to probe the relative stability of few isomers by MO calculations. The most studied dihydropyridine derivative is certainly the 1 methyldihydronicotinamide, the model compound for NADH, investigated by simple Huckel, ${ }^{\text {,a }}$ the PPP method, ${ }^{5 b}$ and very recently with the $\mathrm{CNDO}^{5 \mathrm{c}}$ and $\mathrm{EHT}^{5 \mathrm{~d}}$ methods. A systematic study of the reduction process of pyridine and further properties of the various possible isomers must, however, use a more reliable quantum chemical approach in combination with an optimization procedure which allows complete minimization of the energies with respect to all geometrical variables, since the relative energy differences are expected to be small and simplifying assumptions would alter the results.

One very important experimental result was provided by Fowler, ${ }^{6}$ who determined the relative stability of the 1 methyldihydropyridines. The results indicated that the $1,4-$ dihydro derivative is by $2.29 \pm 0.01 \mathrm{kcal} / \mathrm{mol}$ more stable than the 1,2 form. He suggested that the real reason for stability of

[^0]the 1,4 isomer is the "favorable electronic interaction". The present work will attempt to analyze this aspect of the problem, namely the reason for the relative stability of the isomers.

## Theoretical Procedure

The calculations reported here were carried out with the latest MINDO/3 ${ }^{7}$ program, which is an improved version in the series of MINDO ${ }^{8 \mathrm{a}}-\mathrm{MINDO} / 2^{8 \mathrm{~b}}$-MINDO $/ 2^{\prime 8 \mathrm{c}}$ methods. The major advantage of the latest MINDO/3 program is the use of the powerful and fast $\mathrm{DFP}^{7 a}$ optimization procedure which allowed us to calculate the various structures without any geometrical restrictions.

## Results and Discussion

The complex process of reduction of pyridine (1) and protonation of the dihydro isomers is presented in Scheme I.

The first step in the reduction process ${ }^{2 b}$ of pyridine (1) involves the attack of a hydride ion ( $\mathrm{H}^{-}$), followed by the protonation of the possible negative ions 2 and 3 to form the dihydropyridines $4-10$. Further protonation of the dihydropyridines leads to the possible ions 11-17. The various protonated forms can be formed from different dihydropyridine isomers, depending on the proton affinity of the various positions (kinetic product) and the relative stability of the protonated forms (thermodynamic product).

The results of the calculations on structures 1-17 are listed in Table I. In addition, the positive ions 18 and 19 were also included in order to compare the adiabatic ionization potentials of the two major dihydropyridine isomers.

First, the calculations on pyridine itself, obviously, reproduce well both the energetic and structural features of the molecule. Among the intermediars formed after the attack of the hydride ion, the 4 -hydrido derivative 2 is by about $5 \mathrm{kcal} / \mathrm{mol}$ more stable than 3. An analysis of the HOMO's shows a much higher contribution of the N lone pair in $\mathbf{2}$ and in $\mathbf{3}$. There are three possible structures that can be formed from 2 by the addition of a proton to the positions $1-3$. Based on the relative distribution of the negative charge, the order of preference is $1>3>2$. The stabilities of the products follow this order: the bicyclo structure 6 is much less stable than the $1,4-(5)$ and $3,4-$ (4) dihydropyridines, while the 1,4 isomer is the most stable, as expected. The protonation of $\mathbf{3}$ can lead to the four structures 7-10. Again, the bicyclo structure is much less stable than the other three, among which the classical 1,2-dihydropyridine (7) is the most stable.

The relative stability of the 1,4- and 1,2-dihydro isomers shows a difference of $4.5 \mathrm{kcal} / \mathrm{mol}$, in good agreement with the experimental value. ${ }^{6}$

As expected based on X-ray studies of 1,4-dihydronico-

Table I. Calculated Geometries, Heats of Formation ( $\Delta H_{\mathrm{f}}, \mathrm{kcal} / \mathrm{mol}, 25^{\circ} \mathrm{C}$ ), Distribution of Formal Charges, and Ionization Potentials of Dihydropyridine Isomers and Related Compounds

| molecule name, ${ }^{a}$ numbering, and heats of formation | molecule structure |  |  | at. charges, e |  | $\begin{aligned} & \text { vert IP } \\ & \mathrm{eV}^{c} \\ & \hline \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | bond lengths, $\AA$ A | bond angles, deg | dihedral angles ${ }^{b}$ | $\begin{gathered} \text { atom } \\ \text { no. } \\ \hline \end{gathered}$ | charge |  |
|  | $\overline{12}=1.336(1.340)^{e}$ | $\overline{\overline{123}}=122.0$ | planar | 1 | -0.1641 | 8.49 ( $\sigma$ ) |
|  | $\frac{12}{23}=1.402(1.395)$ | $\frac{1234}{234}=118.2$ |  | 2 | 0.1351 | 9.13 ( $\pi$ ) |
|  | $\overline{34}=1.406(1.394)$ | $\overline{345}=119.8$ |  | 3 | -0.0659 | 9.73 ( $\pi$ ) |
|  | $\overline{27}=1.107(1.084)$ | $\frac{216}{}=119.8$ |  | 4 | 0.0732 | 10.44 ( $\sigma$ ) |
|  | $\overline{38}=1.105(1.081)$ | $\overline{723}=120.6$ |  | 5 | -0.0657 | 12.08 ( $\sigma$ ) |
|  | $\overline{49}=1.114$ (1.077) | $\overline{832}=120.6$ |  | 6 | 0.1348 |  |
| pyridine ${ }^{\text {a }}$ (1) | $\overline{16}=1.336$ | $\overline{349}=120.1$ |  | 7 | -0.0151 |  |
| $\Delta H_{i}=34.1 \mathrm{kcal} / \mathrm{mol}$ | $\overline{56}=1.402$ |  |  | 8 | 0.0005 |  |
| $(34.6 \mathrm{kcal} / \mathrm{mol})$ | $\overline{45}=1.406$ |  |  | 9 | -0.0182 |  |
|  |  |  |  | 10 | 0.0001 |  |
|  |  |  |  | 11 | -0.0147 |  |
|  | $\overline{12}=1.372$ | $\overline{215}=124.1$ | planar |  | 0.2172 | 1.53 ( $\pi$ ) |
|  | $\overline{\overline{15}}=1.367$ | $\overline{126}=123.5$ | within | 2 | $-0.2900$ | $2.91(\sigma)$ |
|  | $\overline{26}=1.479$ | $\overline{268}=110.5$ | $0.5{ }^{\circ}$ | 3 | -0.1169 | 3.90 ( $\pi$ ) |
| - | $\overline{57}=1.368$ | $\overline{312}=120.3$ |  | 4 | -0.0656 | 4.66 ( $\sigma$ ) |
| 11: $\square^{\circ}$ | $\overline{68}=1.484$ | $\overline{124}=120.2$ |  | 5 | -0.4262 | 6.31 ( $\pi$ ) |
| N- | $\overline{78}=1.377$ | $\overline{8612}=112.5$ |  | 6 | 0.3256 |  |
|  | $\overline{13}=1.126$ | $\overline{8611}=112.3$ |  | 7 | 0.2366 |  |
| 4.hydridopyridine | $\overline{24}=1.113$ | $\overline{6810}=118.6$ | $\theta=11687$ | 8 | -0.3163 |  |
| $\Delta H_{i}=27.1 \mathrm{kcal} / \mathrm{mol}$ | $\frac{79}{79}=1.131$ | $\overline{579}=113.2$ | = $125.88^{\circ}$ | 9 | -0.1288 |  |
|  | $\overline{810}=1.109$ | $\overline{157}=114.2$ | $\theta=12687$ | 10 | -0.0519 |  |
|  | $\overline{611}=1.145$ |  | $=223.2^{\circ}$ | 11 | -0.1919 |  |
|  | $\overline{612}=1.145$ |  |  | 12 | -0.1918 |  |
|  | $\overline{12}=1.412$ | $\overline{215}=127.0$ |  | 1 | 0.2791 | 1.38 ( $\pi$ ) |
|  | $\overline{15}=1.313$ | $\overline{126}=114.9$ |  | 2 | -0.3794 | 2.76 ( $\sigma$ ) |
|  | $\overline{26}=1.426$ | $\overline{268}=121.6$ |  | 3 | -0.1351 | 4.43 ( $\pi$ ) |
| ${ }^{4}$ | $\overline{57}=1.421$ | $\overline{312}=118.1$ | $\begin{aligned} \theta & =11751 \\ & =128.0^{\circ} \end{aligned}$ | 4 | -0.0403 | 4.97 ( $\sigma$ ) |
|  | $\overline{68}=1.372$ | $\overline{124}=123.1$ | $\theta=12751$ | 5 | -0.4143 | 5.78 ( $\pi$ ) |
|  | $\overline{78}=1.485$ | $\overline{269}=118.4$ | $=233.2^{\circ}$ | 6 | 0.1807 |  |
| (3) | $\overline{13}=1.132$ | $\overline{6810}=120.7$ |  | 7 | 0.3767 |  |
| 2-hydridopyridine | $\overline{24}=1.106$ | $\overline{5711}=109.9$ | planar | 8 | -0.2866 |  |
| $\Delta H_{\mathrm{f}}=32.0 \mathrm{kcal} / \mathrm{mol}$ | $\overline{69}=1.119$ | $\overline{5712}=110.4$ | within | 9 | -0.1215 |  |
|  | $\overline{\overline{810}}=1.110$ | $\overline{157}=119.6$ | $1.5{ }^{\circ}$ | 10 | -0.0538 |  |
|  | $\overline{711}=1.155$ |  |  | 11 | -0.2020 |  |
|  | $\overline{712}=1.155$ |  |  | 12 | -0.2035 |  |
|  | $\overline{\overline{12}}=1.350$ | $\overline{215}=122.4$ | planar within | 1 | 0.0897 |  |
|  | $\overline{15}=1.403$ | $\overline{126}=121.8$ | $0.5^{\circ}$, except | 2 | -0.0747 | $8.73(\pi)$ |
|  | $\overline{26}=1.500$ | $\overline{268}=115.3$ | $\mathrm{H}_{13}$, which is | 3 | -0.0059 | 10.38 ( $\sigma$ ) |
|  |  |  | out of plane |  |  |  |
|  | $\overline{57}=1.278$ | $\overline{312}=122.9$ | by $2.3{ }^{\circ}$ | 4 | 0.0042 | 11.89 ( $\pi$ ) |
|  | 68 $=1.524$ | $\overline{\overline{124}}=122.3$ |  | 5 | -0.1547 |  |
|  | $\overline{78}=1.503$ | $\overline{260}=121.7$ | planes 96 | 6 | 0.1166 |  |
| (4) | $\overline{\overline{13}}=1.112$ | $\frac{680}{871}=124.0$ | 10 and 11 | 7 | 0.1460 |  |
| 3,4-dihydropyridine | $\overline{\overline{24}}=1.105$ | $\overline{8713}=116.5$ | 812 are | 8 | 0.0308 |  |
| $\Delta H_{i}=24.8 \mathrm{kcal} / \mathrm{mol}$ | $\overline{713}=1.117$ | $\overline{\overline{157}}=120.5$ | perpendicular | 9 | -0.0421 |  |
|  | $\overline{69}=1.120$ |  | to frame | 10 | -0.0425 |  |
|  | $\overline{\underline{610}}=1.121$ | $\mathrm{HC}_{6} \mathrm{H}=101.4$ |  | 11 | -0.0258 |  |
|  | $\overline{811}=1.121$ | $\mathrm{HC}_{8} \mathrm{H}=102.0$ |  | 12 | -0.0260 |  |
|  | $\overline{912}=1.120$ |  |  | 13 | -0.0157 |  |
|  | $\overline{\overline{12}}=1.359$ | $\overline{215}=120.3$ | planar | 1 | 0.1239 | 7.48 ( $\pi-p_{N}$ ) |
|  | $\overline{15}=1.370$ | $\overline{\overline{126}}=120.1$ | within | 2 | -0.1570 | 9.87 ( $\pi$ ) |
|  | $\overline{26}=1.494$ | $\overline{268}=114.8$ | $0.5^{\circ}$ | 3 | -0.0124 | 10.32 ( $\sigma$ ) |
| $\cdots$ | $\overline{57}=1.378$ | $\overline{312}=123.8$ | plane of | 4 | 0.0244 | 10.64 ( $\sigma$ ) |
|  | $\overline{68}=1.493$ | $\overline{\overline{124}}=120.7$ | 61011 is | 5 | -0.0671 | 12.26 ( $\pi$ ) |
| $4$ | $\overline{78}=1.352$ | $\frac{\overline{260}}{6813}=122.0$ | perpendicular | 7 | 0.2002 | 13.10 ( $\sigma$ ) |
|  | $\overline{13}=1.113$ | $\overline{\underline{6813}}=118.6$ |  | 7 | 0.1200 |  |
|  | $\overline{24}=1.100$ | $\overline{8712}=124.9$ |  | 8 | -0.1459 |  |
| ${ }^{(5)}$ | $\overline{\overline{712}}=1.110$ | $\overline{\overline{157}}=124.3$ |  | 9 | 0.0542 |  |
| 1,4-dihydropyridine | $\overline{\overline{814}}=1.102$ | $\overline{159}=117.0$ |  | 10 | -0.0774 |  |
| $\Delta H_{i}=20.5 \mathrm{kcal} / \mathrm{mol}$ | $\overline{\underline{610}}=1.126$ |  |  | 11 | -0.0752 |  |
|  | $\overline{611}=1.120$ | $\mathrm{HCH}=100.8$ |  | 12 | -0.0079 |  |
|  | $\overline{59}=1.020$ |  |  | 13 | 0.0202 |  |

Table 1 (Continued)


Table I (Continued)


Table I (Continued)


## Table 1 (Continued)


${ }^{a} \mathrm{O}$ and $\mathrm{O}^{\prime}$ are imaginary points helping to define structures. All structures were completely optimized using $3 N-6$ variables ( $N$ is the number of atoms). ${ }^{b}$ Clockwise. ${ }^{c}$ Koopmans' theorem. ${ }^{d}$ Observed bond lengths in parentheses, from B. Bak, L. Hansen-Nygaard, and J. RastrupAndersen, J. Mol. Spectrosc., 2, 361 (1958). e Observed value: "JANAF Thermochemical Tables", Dow Chemical Co., Midland, Mich., 1965.
tinamide ${ }^{9}$ and structural considerations, except for the bicyclo structures 6 and 10 , the ring system in the other dihydropyridine isomers is essentially planar.

In order to check if there is indeed a more "favorable electronic interaction" 6 in the 1,4 -dihydro derivative 5 as compared to the 1,2 form 7, the HOMO's and LUMO's in these molecules were examined. The HOMO's are, according to $\phi_{16}{ }^{n}=$ $\Sigma c i \chi i$, as follows:

$$
\begin{gathered}
\phi_{16}^{5}=0.21 \mathrm{p}_{z}\left(\mathrm{C}_{1}\right)+0.48 \mathrm{p}_{z}\left(\mathrm{C}_{2}\right)-0.58 \mathrm{p}_{z}\left(\mathrm{~N}_{5}\right)+ \\
0.20 \mathrm{p}_{z}\left(\mathrm{C}_{7}\right)+0.47 \mathrm{p}_{z}\left(\mathrm{C}_{8}\right) \\
\quad-0.10 \mathrm{p}_{z}\left(\mathrm{C}_{6}\right)+0.26 \mathrm{~s}\left(\mathrm{H}_{10}\right)-0.25 \mathrm{~s}\left(\mathrm{H}_{11}\right) \\
\phi_{16}{ }^{7}=0.30 \mathrm{p}_{z}\left(\mathrm{C}_{1}\right)+0.53 \mathrm{p}_{z}\left(\mathrm{C}_{2}\right)-0.52 \mathrm{p}_{z}\left(\mathrm{~N}_{5}\right) \\
-0.20 \mathrm{p}_{z}\left(\mathrm{C}_{6}\right)-0.44 \mathrm{p}_{z}\left(\mathrm{C}_{8}\right) \\
\quad+0.06 \mathrm{p}_{z}\left(\mathrm{C}_{7}\right)+0.25 \mathrm{~s}\left(\mathrm{H}_{12}\right)-0.25 \mathrm{~s}\left(\mathrm{H}_{13}\right)
\end{gathered}
$$

Scheme I. The Reduction and Protonation Processes of Pyridine

(The unrestricted optimization leads to the expected symmetrical structure of 5 . Small differences (within the error of the method) in the bond lengths or in the coefficients of $\mathrm{H}_{10}$ and $\mathrm{H}_{11}$ in the above $\phi_{16}{ }^{5}$ are due to the convergence criteria set.)

It is evident that there is a significant hyperconjugation ${ }^{10}$ contribution of the $-\mathrm{CH}_{2}$ - group in both structures, as suggested in the case of the 1,4 -dihydronicotinamide. ${ }^{5 \mathrm{c}, \mathrm{d}}$ It is also clear that both the $-\mathrm{CH}_{2}$ - hyperconjugation and the contribution of the N lone pair to the HOMO is stronger in the case of 5 , explaining the difference in stability. In addition, the low-lying LUMO in $7[1.28 \mathrm{eV}(\pi)$ vs. $1.48 \mathrm{eV}(\sigma)$ and 1.76 $\mathrm{eV}(\pi)$ in 5] would indicate an increased electron affinity and thus sensitivity toward further reduction of 7.

It is interesting to note the extremely good agreement between the calculated and observed ${ }^{11}$ ionization potentials for the 1,4 -dihydropyridine (5). As shown in Table II, the calculated values are in excellent agreement for the first and second IP's, both in values and in assignment, supporting the reliability of the calculation method used.

Table II. Calculated and Observed Ionization Potentials of Selected Dihydropyridines

|  | calcd ${ }^{a}$ <br> vertical <br> IP's, eV | obsd ${ }^{c}$ | $\begin{gathered} \text { calcd }^{b} \\ \text { adiabatic } \\ \text { IP's, eV } \end{gathered}$ |
| :---: | :---: | :---: | :---: |
|  | 7.48 ( $\pi$ ) | $\begin{aligned} & 7.45(\pi) \\ & 9.77(\pi) \end{aligned}$ | 7.06 |
|  | 9.87 ( $\pi$ ) |  |  |
|  | $10.21(\sigma)$ |  |  |
|  | 10.64 ( $\sigma$ ) |  |  |
|  | 12.26 ( $\pi$ ) |  |  |
|  | $13.10(\sigma)$ |  |  |
| $\bigcirc$ | 7.50 ( $\pi$ ) |  | 7.00 |
|  | 10.29 ( $\pi$ ) |  |  |
|  | $10.39(\sigma)$ |  |  |
|  | 10.69 ( $\sigma$ ) |  |  |
| 12-dihydropyridine (7) | 11.56 ( $\sigma$ ) |  |  |

[^1]The relative stability of the 1,4 - and 1,2 -dihydro isomers is not, as expected, affected by the introduction of a 1-methyl group. The fully optimized 1 -methyl-1,4-dihydropyridine (20) is by about $5 \mathrm{kcal} / \mathrm{mol}$ more stable than the corresponding 1,2 isomer (21). The most stable conformation for the methyl group in 20 is the one in which two of the H atoms are rotated by about $30^{\circ}$ out of the plane of the ring while the third one is in a perpendicular plane. There is a significant contribution to the HOMO in 20 of the third H and less by the other two. The conformation of the $N$-methyl in 21 does not differ significantly from that in $\mathbf{2 0}$, although it is somewhat rotated toward a position of decreased repulsion with the neighboring $-\mathrm{CH}_{2}$ - hydrogen atoms.

The IP's of $\mathbf{2 0}$ and $\mathbf{2 1}$ vs. the homologues 5 and $\mathbf{7}$ are essentially the same, in very good agreement with the literature. ${ }^{11}$

The dihydropyridine isomers undergo further protonation and other electrophilic addition reactions under conditions of synthetic and biological importance. ${ }^{2 b}$ The attack of the electrophile on the dienamine system could occur at the terminal carbon of the central double bond or at the end of the dienamine system. It was suggested by Lyle ${ }^{2 b}$ that the protonation of dienamines seems to be correlated by the rule developed by Ingold for the protonation of $\alpha, \beta$-unsaturated esters. We have, however, examined all possibilities for the attack of a proton on the various dihydropyridine isomers.

The protonation of 1,4- and 3,4-dihydropyridine ( 5 and 4) can result in three possible protonated forms (11-13). The bicyclo derivative 13 can also be formed directly from 6 . It is evident that 13 is a highly unstable form energetically, besides that the position ortho to the N is highly unfavorable for the attack of the proton. Both the relative charge distributions of 5 and the heats of formation of 4 and 5 indicate that the N protonated form 12 is much less stable than the classical enamine salt 11, which is the most stable protonated form. If, however, 4 would be forming, the protonation on the N is favored, leading again to a structure like 11, in very good agreement with the experimental finding of the structure of the protonated dihydropyridines.

The protonation of the four possible isomers formed from 3 could lead again to four isomers (14-17). Again, the bicyclo
form 17 is much less stable, as well as the unfavorable N protonation would result in an unstable structure. The choice is then between the C -protonated structures 14 and 15 . The relative heats of formation would indicate that $\mathbf{1 5}$ is more stable. This is somewhat in contradiction with the concept of protonation of 7 in the middle, ${ }^{2 b}$ as well as with our findings on the structure of the protonated dihydropyridine formed upon the reduction of $2-\mathrm{PAM} .^{3 \mathrm{a}, \mathrm{b}}$ However, analyzing the possibilities of the formation of the more stable form 15 , it can be seen that it can be formed primarily from the protonation of less stable 2,3-dihydropyridine (8), by protonation on the N . The formation of $\mathbf{1 5}$ from the classical 1,2-dihydropyridine structure 7 is unfavorable from the point of view of the relative charge distribution. It seems, thus, that the protonation of 1,2-dihydropyridine (7) would result in the kinetically more favorable 14, which, however, would equilibrate under appropriate conditions to the thermodynamically more stable 15.

In conclusion, the detailed MINDO/ 3 study of the reduction and related processes has accurately described the relative energies and behavior of the various dihydro isomers. It can be seen that the relative energy differences among the simple isomers are not too large, and under certain conditions any of the isomers could form. The bicyclo structures $\mathbf{6 , 1 0}, 13$, and 17, although not clearly dihydropyridines, are of interest and the structures certainly represent minima in the potential surface.

The energetic and conformational problems of the related biologically important dihydropyridines, such as the various N -alkyl pyridinium aldoximes ${ }^{3,4}$ are currently being studied and the results will be published elsewhere.

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# Theoretical Study of $\mathrm{Li}_{2} \mathrm{H} .3$. Approximate Natural Orbital Contour Diagrams and Occupation Numbers for the Formation and Dissociation of $\mathrm{Li}_{2} \mathrm{H}$ 

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#### Abstract

Approximate natural orbital contour diagrams and occupation numbers are used to analyze abinitio potential energy curves along pathways that lead to the formation and dissociation of $\mathrm{Li}_{2} \mathrm{H}: \mathrm{H}+\mathrm{Li}_{2} \rightarrow \mathrm{Li} 2 \mathrm{H} \rightarrow \mathrm{Li} \mathrm{H}+\mathrm{Li}$, In addition to the ground state, the three lowest excited states are analyzed. The analysis revealed extensive charge transfer intermediates of the type $\mathrm{Li}_{2}{ }^{+} \mathrm{H}^{-}$. The species $\mathrm{L} \mathrm{i}^{-} \ldots \mathrm{Li}^{+}$was also found to be an intermediate. The natural orbital description predicts in general that charge transfer is more gradual than is expected on the basis of uncorrelated molecular orbitals. However, rather abrupt natural orbital charge transfer was also encountered.


Previous theoretical work on $\mathrm{Li}_{2} \mathrm{H}$ has been largely concerned with determining the characteristics of the ground ${ }^{1-4}$ and low-lying excited state ${ }^{2-4}$ potential energy surfaces. Ab initio results ${ }^{1-3}$ predict the existence of stable $\mathrm{Li}_{2} \mathrm{H}$ with a $C_{2 v}$ geometry, while diatomics-in-molecules work ${ }^{4}$ predicts a linear symmetric ground state. The discrepancy with the $a b$ initio results is apparently due to the neglect of ionic and p-symmetry terms in the diatomic states used in ref $4 .{ }^{5} \mathrm{Li}_{2} \mathrm{H}$ is ionic in most of its low-lying states, so it seems reasonable that ionic curves should be required in the diatomic input. The p symmetry is probably necessary to stabilize the $C_{2 v}$ geometries by enabling the Li contributions to "point" toward the incoming H atom.

A mass spectrometric identification of $\mathrm{Li}_{2} \mathrm{H}$ has recently been reported. ${ }^{6}$ The diatomics-in-molecules results ${ }^{4}$ are used

[^2]to establish that $\mathrm{Li}_{2} \mathrm{H}$ has a linear symmetric geometry. However, since more accurate ab initio calculations ${ }^{1,2}$ predict that the $C_{2 v}$ symmetry is most stable, the "experimental" geometry should be viewed as questionable. The original motivation for our own calculations ${ }^{2,3}$ was to provide potential curves for the interpretation of ongoing molecular beam experimental work. ${ }^{7}$ There are many interesting charge transfer processes taking place in $\mathrm{Li}_{2} \mathrm{H}$ within energies accessible to the molecular beams. The purpose of the present work is to provide a description of these processes. Correlated wave functions are required for two reasons. First, uncorrelated molecular orbital (MO) wave functions are less accurate. Any interpretation based on them is therefore questionable. Second, the MO (single configuration) wave functions for excited states which have the same symmetry as lower states do not usually satisfy the variational principle. ${ }^{8}$ This occurs because higher single configuration states are not orthogonal to any state which is itself an upper bound to the true ground state. Therefore the


[^0]:    \# This paper is dedicated to Professor M. J. S. Dewar on the occasion of his 60th birthday.

[^1]:    ${ }^{a}$ Based on Koopmans' theorem. ${ }^{b} \mathrm{IP}_{\mathrm{ad}}=\left(\Delta H_{\mathrm{f}}\left(\mathrm{mol}^{+}\right)-\right.$ $\left.\Delta H_{\mathrm{f}}(\mathrm{mol})\right) .{ }^{c}$ Reference 11.

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